

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. *7300*  
UC97-156-7 *0400*

In Re Application Of: SOLGAARD, O.; HERITAGE, J.; BHATTARI, A.

MAY 07 2001

Series No.  
07813,446

Filing Date  
MARCH 20, 2001

Examiner

Group Art Unit

Title: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
UC97-156-7

In Re Application Of: SOLGAARD, O.; HERITAGE, J.; BHATTARI, A.

Serial No.  
09/813,446

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Group Art Unit

Title: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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JOHN P. O'BANION

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Signature

Dated: 5/4/01

JOHN P. O'BANION, REG. NO. 33,201  
O'BANION & RITCHEY LLP  
400 CAPITOL MALL, SUITE 1550  
SACRAMENTO, CA 95814  
(916) 498-1010

CC:

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): SOLGAARD, O.; HERITAGE, J.; BHATTARI, A.

Docket No.

UC97-156-7

Serial No.

09/813,446

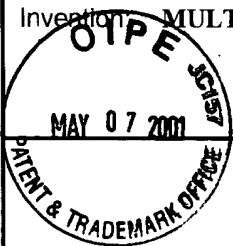
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Group Art Unit

Invention: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

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Applicant(s): SOLGAARD, O.; HERITAGE, J.; BHATTARI, A.

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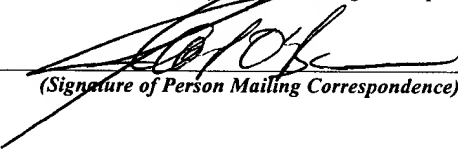
Group Art Unit

Invention: MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

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